#### UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov CONFIRMATION NO. APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. 10/749,998 12/31/2003 **David Marmaros** 53051/294551 9955 (GP-175-25-U **EXAMINER** 62296 7590 07/12/2006 GOOGLE / FENWICK THAI, HANH B SILICON VALLEY CENTER ART UNIT PAPER NUMBER 801 CALIFORNIA ST. MOUNTAIN VIEW, CA 94041 2163 DATE MAILED: 07/12/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
Office Action Summary	10/749,998	MARMAROS ET AL.
	Examiner	Art Unit
	Hanh B. Thai	2163
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply		
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).		
Status		•
<ol> <li>Responsive to communication(s) filed on <u>31 December 2003</u>.</li> <li>This action is <b>FINAL</b>. 2b) This action is non-final.</li> <li>Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i>, 1935 C.D. 11, 453 O.G. 213.</li> </ol>		
Disposition of Claims		
<ul> <li>4)  Claim(s) 1-30 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>5)  Claim(s) is/are allowed.</li> <li>6)  Claim(s) 1-30 is/are rejected.</li> <li>7)  Claim(s) is/are objected to.</li> <li>8)  Claim(s) are subject to restriction and/or election requirement.</li> </ul>		
Application Papers		
9) ☐ The specification is objected to by the Examiner 10) ☑ The drawing(s) filed on 31 December 2003 is/ar Applicant may not request that any objection to the of Replacement drawing sheet(s) including the correction 11) ☐ The oath or declaration is objected to by the Examiner	re: a) $\square$ accepted or b) $\square$ objected frawing(s) be held in abeyance. See on is required if the drawing(s) is object.	ected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. § 119		
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>		
Attachment(s)		
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 10/14/04 & 6/9/05.	4) Interview Summary ( Paper No(s)/Mail Da 5) Notice of Informal Pa 6) Other:	PTO-413) te atent Application (PTO-152)

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#### **DETAILED ACTION**

1. The following is Non-Final Office Action in response to the application filed December 31, 2003 in which claims 1-30 are presented for examination.

# Information Disclosure Statement

2. The information disclosure statement (IDS) submitted on October 14, 2004 and June 9, 2005 have been considered and entered into record. The submission is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 3. Claims 1-8 and 12-27 are rejected under 35 U.S.C. 102(e) as being anticipated by Holt et al. (US 6,601,061 B1).

Regarding claim 1, Holt discloses a method comprising:

executing a search query on a local index (col.3, lines 54-67; col.8, lines 12-16 and lines 25-28 and col. 11, lines 25-35, Holt discloses executing a search query on "the special purpose search resource" reads on "a search query on a local index");

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- receiving a first result set from the local index, the first result set relevant to the search query (abstract; col.3, lines 54-67; col.4, lines 26-45; col.5, lines 5-10; col.8, lines 12-16 and lines 25-28 and col. 11, lines 25-35, Holt discloses receiving a search result from "the special purpose search resource" reads on "a first result set from the local index");
- receiving a second result set from a global index, the second result set relevant to the search query (abstract; col.4, lines 26-45; col.5, lines 5-10; col.8, lines 12-16 and lines 25-28 and col. 11, lines 25-35, Holt discloses receiving a search result from "the public search" reads on "a second result set from a global index"); and
- combining the first result set with the second result set to create a combined result set (abstract; col. 4, lines 45-55 and 11, lines 25-35, Holt discloses merging the special purpose search result and public search result into a combined search result).

Regarding claim 2, Holt further discloses receiving the search query (col.3, lines 54-67; col.8, lines 12-16 and lines 25-28 and col. 11, lines 25-35, Holt).

Regarding claim 3, Holt discloses wherein receiving the search query comprises intercepting a search query directed to the global index (abstract; col.4, lines 26-45; col.5, lines 5-10; col.8, lines 12-16 and lines 25-28 and col. 11, lines 25-35, Holt).

Regarding claims 4 and 25, Holt discloses ranking the combined result set (col.5, lines 46-56).

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Regarding claims 5 and 26, Holt discloses wherein the combined result set comprises a merged result set (abstract; col. 4, lines 45-55 and 11, lines 25-35).

Regarding claim 6, Holt discloses wherein the combined result content display window comprising a first section for displaying the first result set and a second section for displaying the second result set (Fig.2; col.3, lines 34-53 and col.12, lines 25-28).

Regarding claim 7, Holt discloses generating a user interface comprising the combined result set (Fig.2 and col. 10, lines 48-57).

Regarding claims 8 and 27, Holt discloses wherein combining the first result set with the second result set to create a combined result set comprises: identifying a first article identifier in the first result set; and replacing a second article identifier in the second result set with the first article (col.6, lines 41-62 and col.9, lines 1-27).

Regarding claim 12, Holt discloses wherein receiving the search query comprises receiving the search query in a network monitor (col.10, lines 1-10).

Regarding claim 13, Holt discloses wherein the local index comprises a database (col.5, lines 11-25).

Regarding claim 14, Holt discloses wherein the database comprises a pre-generated result set (col.5, lines 11-25).

Regarding claim 15, Holt discloses wherein the local index comprises a list of files (col.5, lines 11-25).

Regarding claims 16-17, Holt does not disclose wherein the local index comprises an email application or a chat application. Holt discloses that special search resources include on-

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line search sources (col.5, lines 4-10 and col.6, lines 4-16). Therefore, these resources must inherently include email or chat applications.

Regarding claim 18, Holt discloses a method comprising:

- identifying a global query directed against a global index (abstract; col.4, lines 26-45; col.5, lines 5-10; col.8, lines 12-16 and lines 25-28 and col. 11, lines 25-35, Holt);

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- initiating a local query against a local index, the local query based at least in part on the global query (col.3, lines 54-67; col.8, lines 12-16 and lines 25-28 and col. 11, lines 25-35, Holt discloses executing a search query on "the special purpose search resource" reads on "a search query on a local index");
- identifying a response from the global index (abstract; col.4, lines 26-45; col.5, lines 5-10; col.8, lines 12-16 and lines 25-28 and col. 11, lines 25-35, Holt);
- receiving a response from the local index (abstract; col.4, lines 26-45; col.5, lines 5-10; col.8, lines 12-16 and lines 25-28 and col. 11, lines 25-35, Holt); and
- creating a combined display based at least in part on the response from the global index and the response from the local index (abstract; col. 4, lines 45-55 and 11, lines 25-35, Holt discloses merging the special purpose search result and public search result into a combined search result).

Regarding claim 19, Holt discloses wherein the local query and the global query occur in parallel (col.4, line 66 to col.5, line 10).

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Regarding claim 20, Holt discloses ignoring the response from the local index if the response from the local index is not received within a predetermined time after the response from the global index is received (col.5, lines 34-45).

Regarding claim 21, Holt discloses wherein creating a combined display based at least in part on the response from the global index and the response from the local index comprises modifying the response from the global index (col.6, lines 41-62 and col.7, lines 44-59).

Regarding claim 22, Holt discloses wherein creating a combined display based at least in part on the response from the global index and the response from the local index comprises creating a new display, wherein the response from the local index and the response from the global index are contained in separate sections (Fig.2; col.6, lines 41-62 and col.7, lines 44-59).

Regarding claim 23, Holt discloses wherein creating a combined display based at least in part on the response from the global index and the response from the local index comprises creating a new display combining the response from the local index and the response from the global index (Fig.2; col.6, lines 41-62 and col.7, lines 44-59).

Regarding claim 24, Holt discloses a computer-readable medium on which is encoded program code, the program code comprising:

- program code for executing the search query on a local index (col.3, lines 54-67; col.8, lines 12-16 and lines 25-28 and col. 11, lines 25-35, Holt discloses executing a search query on "the special purpose search resource" reads on "a search query on a local index");
- program code for receiving a first result set from the local index, the first result set relevant to the search query (abstract; col.3, lines 54-67; col.4, lines 26-45;

col.5, lines 5-10; col.8, lines 12-16 and lines 25-28 and col. 11, lines 25-35, Holt discloses receiving a search result from "the special purpose search resource" reads on "a first result set from the local index");

- program code for receiving a second result set from a global index, the second result set relevant to the search query (abstract; col.4, lines 26-45; col.5, lines 5-10; col.8, lines 12-16 and lines 25-28 and col. 11, lines 25-35, Holt discloses receiving a search result from "the public search" reads on "a second result set from a global index"); and
- program code for combining the first result set with the second result set to create a combined result set (abstract; col. 4, lines 45-55 and 11, lines 25-35, Holt discloses merging the special purpose search result and public search result into a combined search result).

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claims 9-11 and 28-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Holt et al. (US 6,601,061 B1) in view of Reisman (US 6,611,862 B2).

Regarding claims 9-11 and 28-30, Holt discloses all of the claimed limitations as discussed above, except wherein receiving the search query comprises receiving the search query in a proxy server, a browser plug-in or a firewall. Reisman discloses user software that controls

transport and presentation of content from a remote resource including the user of the plug-in browser (col.24, line 48 to col. 25, line 11) or the proxy server to receive the query request (col.44, lines 31-48, Reisman). It would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify Holt to include the claimed features as taught by Reisman. The motivation of doing so would have been to efficiently and security controls the receiving request query (col.44, lines 43-47, Reisman).

#### Conclusion

- 5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
  - 1. Spencer (US 5,826,261) discloses system and method for querying multiple, distributed databases by selective sharing of local relative significance information for terms related to the query.
  - 2. Barnett (US 6,795,820 B2) discloses metasearch technique that ranks documents obtained from multiple collections.
- 6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hanh B. Thai whose telephone number is 571-272-4029. The examiner can normally be reached on 8 AM 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Don Wong can be reached on 571-272-1834. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

July 5, 2006

ALFORD KINDRED PRIMARY EXAMINER

Hanh B Thai Examiner Art Unit 2163